

PROVISION OF INVESTMENT SERVICES FROM GREEK INVESTMENT FIRMS TO EU COUNTRIES

Note: the numbering of investment services / activities, ancillary services and financial instruments is derived from Annex I of Directive 2004/39/EC (MiFID).

Investment Firm: ALPHA FINANCE INVESTMENT SERVICES S.A.

Country: France, Germany

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√		√	√			√					√	
	2															
	3	√	√	√		√				√					√	
	4	√	√	√		√				√						
	5	√		√		√				√						
	6	√		√		√				√						
	7	√		√						√						
	8	√		√						√						
	9	√		√						√						
	10	√		√						√						

Investment Firm: ALPHA FINANCE INVESTMENT SERVICES S.A.

Country: Cyprus

[illegible]

Countries: Austria, France, Germany, Italy, Spain, Sweden

Name of the tied agent: Joachim Hermann Moritz Gottschalk

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√				√											
	2	√				√											
	3	√				√											
	4	√				√											
	5	√				√											
	6																
	7																
	8																
	9																
	10																
	11																

Country: Cyprus, Luxembourg

[illegible]

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√								√						
	2	√								√						
	3	√								√						
	4	√								√						
	5	√								√						
	6	√								√						
	7	√								√						
	8	√								√						
	9	√								√						
	10	√								√						

Country: Italy

[illegible]

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√						√						
	2	√	√	√						√						
	3	√	√	√						√						
	4	√	√	√						√						
	5															
	6															
	7															
	8															
	9															
	10															

Country: Germany

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√	√	√	√			√	√	√	√		√	
	2															
	3															
	4															
	5															
	6															
	7															
	8															
	9															
	10															

Country: Cyprus (tied agent)

Name of tied agent: BAWYSER LTD

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√														
	2	√														
	3	√														
	4	√														
	5	√														
	6															
	7															
	8															
	9															
	10															

Countries: Germany, France

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√			√											
	2				√											
	3	√			√											
	4				√											
	5															
	6															
	7															
	8															
	9															
	10															

Trade names: DXA CAPITAL INVESTMENT SERVICES S.A., FINSSO S.A., FINSSO CAPITAL S.A.

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√		√	√					√	√		√	√		
	2																
	3																
	4	√	√		√	√					√	√		√	√		
	5																
	6																
	7																
	8																
	9	√	√		√	√					√	√		√	√		
	10																
11																	

Country: Romania

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√														
	2															
	3															
	4															
	5															
	6															
	7															
	8															
	9															
	10															

Countries: Germany, Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√														
	2	√														
	3	√														
	4	√														
	5	√														
	6	√														
	7	√														
	8	√														
	9	√														
	10	√														

Investment Firm: EUROBANK EQUITIES INVESTMENT FIRM S.A.

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√							√						
	2	√	√													
	3	√	√													
	4	√	√													
	5	√	√													
	6	√	√													
	7	√	√													
	8	√	√													
	9	√	√													
	10	√	√													

Investment Firm: EUROBANK EQUITIES INVESTMENT FIRM S.A.

Countries: Romania, Bulgaria, Poland

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√			√	√			√		√	√	√	
	2	√	√	√							√		√	√		
	3	√	√	√							√		√	√		
	4	√	√	√							√		√	√		
	5	√	√	√							√		√	√		
	6	√	√	√							√		√	√		
	7	√	√	√							√		√	√		
	8	√	√	√							√		√	√		
	9	√	√	√							√		√	√		
	10	√	√	√							√		√	√		

Countries: Cyprus, France

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√													
	2	√	√													
	3	√	√													
	4	√	√													
	5	√	√													
	6	√	√													
	7	√	√													
	8	√	√													
	9	√	√													
	10	√	√													

Countries: Belgium, Czech Republic, Denmark, Finland, France, Germany, Ireland, Italy, Luxembourg, Netherlands, Norway, Poland, Portugal, Spain, Sweden

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√	√	√									√		
	2	√	√	√	√	√									√		
	3	√	√	√	√	√									√		
	4	√	√	√	√	√									√		
	5	√	√	√	√	√									√		
	6	√	√	√	√	√									√		
	7	√	√	√	√	√									√		
	8	√	√	√	√	√									√		
	9	√	√	√	√	√									√		
	10	√	√	√	√	√									√		
	11																

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√		√	√	√								√	√	
	2	√	√		√	√	√								√	√	
	3	√	√		√	√	√								√	√	
	4	√	√		√	√	√								√	√	
	5	√	√		√	√	√								√	√	
	6	√	√		√	√	√								√	√	
	7	√	√		√	√	√								√	√	
	8	√	√		√	√	√								√	√	
	9	√	√		√	√	√								√	√	
	10	√	√		√	√	√								√	√	
11																	

Countries: Bulgaria, Romania

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1		√		√	√									√		
	2		√		√	√									√		
	3		√		√	√									√		
	4		√		√	√									√		
	5		√		√	√									√		
	6		√		√	√									√		
	7		√		√	√									√		
	8		√		√	√									√		
	9		√		√	√									√		
	10		√		√	√									√		
11																	

Country: Germany

[illegible]

Country: France, Germany, Ireland, Italy, Netherlands

[illegible]

Countries: Luxembourg, Ireland, France, Spain, Germany

[illegible]

Country: Luxembourg

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√			√	√										
	2	√			√	√										
	3	√			√	√										
	4	√			√	√										
	5															
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	7															
	8															
	9															
	10															

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√			√	√										
	2	√			√	√										
	3	√			√	√										
	4	√			√	√										
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Investment Firm: LEON DEPOLAS SECURITIES S.A.

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√	√	√	√	√			√			√	√	
	2															
	3	√	√	√	√	√	√	√						√	√	
	4															
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Country: Germany

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√								√						
	2	√								√						
	3	√								√						
	4		√													
	5		√													
	6		√													
	7		√													
	8		√													
	9		√													
	10		√													

Country: France

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√								√						
	2	√								√						
	3	√								√						
	4	√														
	5	√														
	6	√														
	7	√														
	8	√														
	9	√														
	10	√														

Countries: Belgium, Finland, Ireland, Italy, Netherlands, Spain, Sweden, Luxembourg, Norway, Denmark, Austria, Portugal

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√	√	√	√	√			√		√		√	
	2	√	√	√	√	√							√			
	3	√	√	√	√	√					√		√			
	4	√	√	√	√	√							√			
	5															
	6															
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	9															
	10															

Country: Cyprus

[illegible]

Country: Croatia

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√														
	2															
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	6															
	7															
	8															
	9															
	10															

Country: Slovenia

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√														
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	9															
	10															

Country: Romania

[illegible]

Country: Cyprus (tied agent)

[illegible]

Country: Luxembourg

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1				√												
	2				√												
	3				√												
	4				√												
	5				√												
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	10				√												
	11																

Country: Germany

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√								√						
	2	√	√								√						
	3	√	√								√						
	4	√	√								√						
	5	√	√								√						
	6																
	7																
	8																
	9																
	10	√	√									√					
11																	

Countries: Bulgaria, Czech Republic, France, Hungary, Iceland, Italy, Liechtenstein, Netherlands, Norway, Poland, Romania, Slovak Republic, Spain, Sweden

FINANCIAL INSTRUMENTS		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
	1																
	2																
	3																
	4	√	√								√						
	5	√	√								√						
	6																
	7																
	8																

[illegible]

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√			√				√	√	√			√	
	2															
	3															
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	8															
	9															
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Investment Firm: PIRAEUS SECURITIES S.A.

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√												
	2															
	3															
	4															
	5															
	6															
	7															
	8															
	9															
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Investment Firm: PIRAEUS SECURITIES S.A.

Country: Germany

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√	√	√	√	√		√	√	√	√		√	
	2									√						
	3	√			√	√				√	√	√	√			
	4	√		√	√	√					√	√	√			
	5															
	6															
	7															
	8															
	9															
	10															

Investment Firm: PIRAEUS SECURITIES S.A.

Country: Luxembourg

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√		√	√	√				√	√	√	√		√	
	2															
	3	√			√	√				√	√	√	√			
	4															
	5															
	6															
	7															
	8															
	9															
	10															

Investment Firm: PIRAEUS SECURITIES S.A.

Country: France

Country: Germany

[illegible]

Country: Cyprus

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√					√			√		√	√		√	
	2	√	√								√		√	√			
	3	√	√					√			√		√	√		√	
	4	√	√								√						
	5	√	√								√						
	6	√	√								√						
	7	√	√								√						
	8																
	9	√	√								√						
	10	√	√								√						
	11																

Investment Firm: TRIPLE A EXPERTS INVESTMENT SERVICES SINGLE MEMBER S.A.

Countries: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, France, Finland, Germany, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovak Republic, Slovenia, Spain, Sweden

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√	√	√	√				√						
	2	√	√	√	√	√				√						
	3	√	√	√	√	√				√						
	4	√	√	√	√	√				√						
	5	√	√	√	√	√				√						
	6	√	√	√	√	√				√						
	7	√	√	√	√	√				√						
	8	√	√	√	√	√				√						
	9	√	√	√	√	√				√						
	10	√	√	√	√	√				√						

Countries: Finland, Ireland, Italy, Netherlands, Portugal, Romania, Sweden

		INVESTMENT SERVICES AND ACTIVITIES									ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	9	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√								√						
	2																
	3																
	4																
	5																
	6																
	7																
	8																
	9	√	√								√						
	10																
11																	

Investment Firm: VIE FINANCE AEPEY S.A.

Country: Austria, Czech Republic, Denmark, Estonia, Finland, France, Germany, Hungary, Iceland, Ireland, Latvia, Liechtenstein, Lithuania, Luxembourg, Netherlands, Norway, Portugal, Slovakia, Slovenia, Spain, Sweden

		INVESTMENT SERVICES AND ACTIVITIES								ANCILLARY SERVICES						
		1	2	3	4	5	6	7	8	1	2	3	4	5	6	7
FINANCIAL INSTRUMENTS	1	√	√							√			√			
	2	√	√							√			√			
	3	√	√							√			√			
	4	√	√							√			√			
	5	√	√							√			√			
	6	√	√							√			√			
	7	√	√							√			√			
	8	√	√							√			√			
	9	√	√							√			√			
	10	√	√							√			√			